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IN THE U.S. PATENT AND TRADEMARK OFFICE

In re Application of:

Docket No. 16313-0130

Kakefuda, et al.

Serial No. 09/893,033

Filed: June 27, 2001

For: Cyanobacterial Nucleic Acid Fragments Encoding Proteins Useful for Controlling Traits Via Nuclear or Plastome Transformation

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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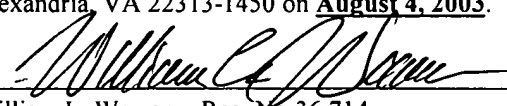
**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS
FOR PATENT APPLICATIONS CONTAINING
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

Sir:

Transmitted herewith are papers in the above-identified application.

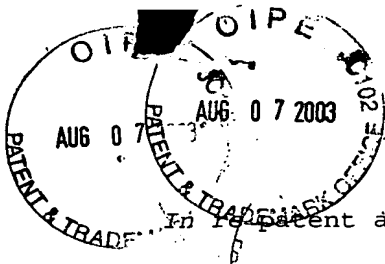
- ☒ Part 2- Return Copy – Notice to Comply with Requirements for Sequence Disclosures.
- ☒ Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§ 1.821-1.825.
- ☒ Sequence Identification Listing – an initial paper and new computer readable form.
- ☒ Copy of the diskette.
- ☒ Preliminary Amendment requesting entry of Sequence Identification Listing.
- ☒ Petition Under 37 C.F.R. §1.137(b) for Revival of an Application Unintentionally Abandoned for Failure to Prosecute, along with a check for \$1,300.00.
- ☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16, or credit any overpayment, to Account No. 19-5029. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on **August 4, 2003**.


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#16

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket No: BASF 100,100 PRV

In re Patent application of

KAKEFUDA, GENICHI et al.

Serial No. 09/893,033

Filed: June 27, 2001

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OFFICE OF PETITIONS

For: CYANOBACTERIAL NUCLEIC ACID FRAGMENTS ENCODING PROTEINS USEFUL FOR
CONTROLLING PLANT TRAITS VIA NUCLEAR OR PLASTOME TRANSFORMATION

STATEMENT TO SUPPORT FILING AND SUBMISSION IN
ACCORDANCE WITH 37 C.F.R. §§ 1.821-1.825

Assistant Commissioner for Patents
Washington, D.C. 20231
Box SEQUENCE

Sir:

In connection with a Sequence Listing submitted concurrently
herewith, the undersigned hereby states that:

1. the submission, filed herewith in accordance with 37
C.F.R. § 1.821(g), does not include new matter;

2. the content of the attached paper copy and the
attached computer readable copy of the Sequence Listing, submitted in
accordance with 37 C.F.R. § 1.821(c) and (e), respectively, are the same;
and

3. all statements made herein of their own knowledge are
true and that all statements made on information and belief are believed to
be true; and further, that these statements were made with the knowledge
that willful false statements and the like so made are punishable by fine
or imprisonment, or both, under Section 1001 of Title 18 of the United

Serial No. 09/893,033

States Code and that such willful false statements may jeopardize the validity of the application or any patent resulting therefrom.

Respectfully submitted,

July 31, 2001
Date

James A. Coburn
James A. Coburn

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Page 1 of 1
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PATENT & TRADE
UNITED STATES PATENT AND TRADEMARK OFFICE
UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
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Alexandria, Virginia 22313-1450
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APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE
09/893,033 06/27/2001 Genichi Kakefuda BASF 100,100-01
Sutherland Asbo;; & Brennan LLP
999 Peachtree Street N.E.
Atlanta, GA 30309-3996
CONFIRMATION NO. 3401
RESEND COVER LETTER
OC000000010625061
Date Mailed: 08/01/2003
COVER LETTER FOR RESENDING CORRESPONDENCE
A courtesy copy of the Notice mailed on 05/22/2002 is enclosed. The time period for reply continues to run from the mail date of that Notice.
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PART 2 - COPY TO BE RETURNED WITH RESPONSE



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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/893,033	06/27/2001	Genichi Kakefuda	BASF 100,100-01

23566

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825 THIRD AVE
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NEW YORK, NY 10022-7519

CONFIRMATION NO. 3401

FORMALITIES LETTER

OC00000008170845

Date Mailed: 05/22/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

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